

2153 Sp 10-2-03

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In repatent application of

Applicant:

Eric J. Horvitz

Serial No.: Filed:

09/809,142 March 15, 2001

For:

SYSTEM AND METHOD FOR IDENTIFYING AND ESTABLISHING PREFERRED MODALITIES

OR CHANNELS FOR COMMUNICATION BASED ON PARTICIPANTS' PREFERENCES AND

**CONTEXTS** 

Art Unit:

2153

Examiner:

Unknown

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

RECEIVED

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SEP 2 9 2003

Technology Center 2100

Sir:

1. Pursuant to 37 C.F.R. 1.97 and 1.98, and in compliance with 37 C.F.R. 1.56, the Office's attention is directed to the patents, publications and other information listed on the attached PTO-1449. A copy of each listed document is enclosed except for: (a) pending applications or (b) those previously cited or submitted to the Office in the following application(s) upon which this application relies for an earlier filing date under 35 U.S.C. 120:

Serial No.:

Filing Date:

Regarding any document, publication or other information for which a date is not given on the attached PTO-1449, Applicant(s) believe(s) the same may qualify as "prior" art to this application and should be treated accordingly, although Applicant(s) reserve(s) the right to contest the prior art status of any document, publication or information, should issue arise.

- 2. Regarding each listed document that is not in the English language, an English-language translation accompanies this Statement as indicated on the attached PTO-1449 or a concise explanation of the relevance of the document is set forth in the following document(s):
  - (a) X Copy of each English language version of a search report indicating the degree of relevance found by the foreign office of each document being submitted from the search report.
  - (b) \_\_\_\_ Attachment entitled "Concise Explanation of Relevance of Non-English Language Documents".
  - 3. Pursuant to 37 C.F.R. 1.97(b) this Statement is being filed (one must be checked):
    - (a) \_\_\_\_ Within 3 months of the filing date or date of entry into the National Stage.
    - (b) \_\_\_\_ Before the mailing date of a first Office Action on the merits. If this Statement is not filed before the mailing date of a first Office Action on the merits, the required certification is given below or, in the absence thereof, the Office is authorized to charge the required fee set forth in 37 C.F.R.

(c)	After the period set forth in 37 C.F.R. 1.97(b) but before the mailing date of either a final action or a notice of allowance.
(1)_	The required certification is given below, or
(2)_	Enclosed is a check covering the fee set forth in 37 C.F.R. 1.17(p) for consideration of this Statement.
(d)	After the mailing date of either a final action or a notice of allowance, but before payment of the issue fee. Petition hereby is made for consideration of this Statement and the required certification is indicated below.
(1)	Enclosed is a check covering the fee set forth in 37 C.F.R. 1.17(i)(1), or

## 4. Certification (if applicable)

- (a) X The undersigned hereby certifies that each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than 3 months prior to the filing of this Statement.
- The undersigned hereby certifies that no item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the undersigned's knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. 1.56(c) more than 3 months prior to the filing of this Statement.

Respectfully Submitted, AMIN & TUROCY, LLP

Himanshu S. Amin Reg. No. 40,894

AMIN & TUROCY, LLP 24<sup>TH</sup> Floor, National City Center 1900 E. 9<sup>th</sup> Street Cleveland, Ohio 44114

## **CERTIFICATE OF MAILING**

I hereby certify that this correspondence (along with any paper referenced as being attached or enclosed) is being deposited on the below date with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450. Alexandria, VA 22313-1450.

Date: 9-22-03

Himanshu S. Amir

PTO/SB/08A (10-01)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
control number.

Substitute for form 1449A/PTO				Complete if Known		
Substitute for form 1449707 10				Application Number	09/809,142	
SUPPLEMENTAL INFORMATION DISCLOSURE				Filing Date	March 15, 2001	
STATEMENT BY APPLICANT			r	First Named Inventor	Eric J. Horvitz	
				Art Unit	2153	
(use as many sheets as necessary)				Examiner Name	Unknown	
Sheet	1	of	2	Attorney Docket Number	MS171139.1 / MSFTP221US	

			U.S. PATI	ENT DOCUMENTS	
Examiner Initials		Document Number Number - Kind Code <sup>2</sup> (if known	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US- 5,812,865	9-22-98	Theimer, et al.	
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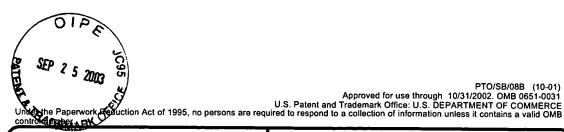
	FOREIGN PATENT DOCUMENTS							
Examiner Initials	Cite No.1	Foreign Patent Document  Country Code 3 - Number 4 - Kind Code 5 (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T6		

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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional).
2 See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04.
3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).
4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.
5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.
6 Applicant is to place a check mark here if English language Translation is attached.



Sheet

MS171139.1 / MSFTP221US

Complete if Known Substitute for form 1449B/PTO 09/809,142 **Application Number** SUPPLEMENTAL INFORMATION DISCLOSURE Filing Date March 15, 2001 Eric J. Horvitz First Named Inventor STATEMENT BY APPLICANT Group Art Unit 2153 (use as many sheets as necessary) **Examiner Name** Unknown of 2

Attorney Docket Number

OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS				
Examiner Initials	Cite No. 1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>	
		ANDREW SILVER, JOHN LARKINS and DAVE STRINGER, "Unified Network Presence Management", Nortel Networks Wireless Solutions, 6 pages		
		European Search Report dated August 28, 2003, for International Application Serial No. 02000906.4-1244-		
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<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.